

# Limited Preemptive Scheduling for Real-Time Systems: a Survey

Giorgio C. Buttazzo, *Fellow Member, IEEE*, Marko Bertogna, *Senior Member, IEEE*, and Gang Yao

**Abstract**—The question whether preemptive algorithms are better than non-preemptive ones for scheduling a set of real-time tasks has been debated for a long time in the research community. In fact, especially under fixed priority systems, each approach has advantages and disadvantages, and no one dominates the other when both predictability and efficiency have to be taken into account in the system design. Recently, limited preemption models have been proposed as a viable alternative between the two extreme cases of fully preemptive and non-preemptive scheduling. This paper presents a survey of the existing approaches for reducing preemptions and compares them under different metrics, providing both qualitative and quantitative performance evaluations.

**Index Terms**—Real-time systems, Non-preemptive regions, Limited-preemptive scheduling.

## I. INTRODUCTION

**P**REEMPTION is a key factor in real-time scheduling, since it allows the operating system to immediately allocate the processor to incoming tasks requiring urgent service. In fully preemptive systems, the running task can be interrupted at any time by another task with higher priority, and be resumed to continue when all higher priority tasks have completed. In other systems, preemption may be disabled for certain intervals of time during the execution of critical operations (e.g., interrupt service routines, critical sections, etc.). In other situations, preemption can be completely forbidden to avoid unpredictable interference among tasks and achieve a higher degree of predictability (although higher blocking times).

The question whether enabling or disabling preemption during task execution has been investigated by many authors under several points of view and it is not trivial to answer. A general disadvantage of the non-preemptive discipline is that it introduces additional blocking time in higher priority tasks, so reducing schedulability. On the other hand, there are several advantages to be considered when adopting a non-preemptive scheduler. In particular, the following issues have to be taken into account when comparing the two approaches:

- In many practical situations, such as I/O scheduling or communication in a shared medium, either preemption is impossible or prohibitively expensive [1].

Giorgio C. Buttazzo is with the Scuola Superiore Sant'Anna, Pisa, Italy. E-mail: g.buttazzo@sssup.it.

Marko Bertogna is with the University of Modena and Reggio Emilia, Italy. E-mail: marko.bertogna@unimore.it

Gang Yao is with the University of Illinois at Urbana Champaign. E-mail: gangyao@illinois.edu.

Copyright (c) 2009 IEEE. Personal use of this material is permitted. However, permission to use this material for any other purposes must be obtained from the IEEE by sending a request to pubs-permissions@ieee.org.

- Preemption destroys program locality, increasing the runtime overhead due to cache misses and pre-fetch mechanisms. As a consequence, worst-case execution times (WCETs) are more difficult to characterize and predict [2], [3], [4], [5].
- Mutual exclusion is trivial in non-preemptive scheduling, which naturally guarantees the exclusive access to shared resources. On the contrary, to avoid unbounded priority inversion [6], preemptive scheduling requires the implementation of specific concurrency control protocols for accessing shared resources [6], [7], which introduce additional overhead and complexity.
- In control applications, the input-output delay and jitter are minimized for all tasks when using a non-preemptive scheduling discipline, since the interval between start time and finishing time is always equal to the task computation time [8]. This simplifies control techniques for delay compensation at design time.
- Non-preemptive execution allows using stack sharing techniques [7] to save memory space in small embedded systems with stringent memory constraints [9], [10].

In summary, arbitrary preemptions can introduce a significant runtime overhead and may cause high fluctuations in task execution times, so degrading system predictability. In particular, at least four different types of costs need to be taken into account at each preemption:

- 1) *Scheduling cost*. It is the time taken by the scheduling algorithm to suspend the running task, insert it into the ready queue, switch the context, and dispatch the new incoming task.
- 2) *Pipeline cost*. It accounts for the time taken to flush the processor pipeline when the task is interrupted and the time taken to refill the pipeline when the task is resumed.
- 3) *Cache-related cost*. It is the time taken to reload the cache lines evicted by the preempting task. Bui et al. [11] showed that on a PowerPC MPC7410 with 2 MByte two-way associative L2 cache the WCET increment due to cache interference can be as large as 33% of the WCET measured in non-preemptive mode.
- 4) *Bus-related cost*. It is the extra bus interference for accessing the RAM due to the additional cache misses caused by preemption.

The cumulative execution overhead due to the combination of these effects is referred to as *Architecture related cost*. Unfortunately, this cost is characterized by a high variance and depends on the specific point in the task code where preemption takes place [12], [13], [14].

The total increase of the worst-case execution time of a task  $\tau_i$  is also a function of the total number of preemptions experi-

enced by  $\tau_i$ , which in turn depends on the task set parameters, on the activation pattern of higher priority tasks, and on the specific scheduling algorithm. Such a circular dependency of WCET and number of preemptions makes the problem not easy to be solved.

The major contribution of this paper is to provide a detailed comparison of the various limited preemptive approaches proposed in the literature, with respect to fully preemptive and non-preemptive schemes. Schedulability tests for each method are reported for completeness, and simulation experiments are carried out to evaluate the impact of the algorithms on the number of preemptions and the overall system schedulability. The results reported here can be used to select the most appropriate scheduling scheme to increase the efficiency of time-critical embedded systems without sacrificing predictability.

The rest of the paper is organized as follows. Section II describes three different approaches proposed in the literature to handle limited preemptive scheduling. Section III describes the task model and the terminology adopted in the paper. Section IV presents the schedulability analysis for the non-preemptive task model. The preemption thresholds model is analyzed in Section V. Section VI details the deferred preemption model, while the method of fixed preemption points is analyzed in Section VII. Considerations regarding the differences between the various models are presented in Section VIII. Section IX reports and discusses some simulation results and Section X states our conclusions.

## II. LIMITED PREEMPTIVE APPROACHES

Often, preemption is considered a pre-requisite to meet timing requirement in real-time system design; however, in most cases, a fully preemptive scheduler produces many unnecessary preemptions.

To reduce the runtime overhead due to preemptions and still preserve the schedulability of the task set, the following approaches have been proposed in the literature:

- *Preemption Thresholds Scheduling (PTS)*. This approach, proposed by Wang and Saksena [15], allows a task to disable preemption up to a specified priority level, called *preemption threshold*. Thus, each task is assigned a regular priority and a preemption threshold, and the preemption is allowed to take place only when the priority of the arriving task is higher than the threshold of the running task.
- *Deferred Preemptions Scheduling (DPS)*. According to this method, first introduced by Baruah [16] under EDF, each task  $\tau_i$  specifies the longest interval  $q_i$  that can be executed non-preemptively. For the sake of clarity, it is worth noting that the terminology is not consistent in the literature, since other authors (e.g., Burns [17] and Bril et al. [18]) used the term *Deferred Preemptions* to actually denote *Fixed Preemption Points*. However, we believe the term *Deferred* is more appropriate in this case, because preemption is postponed for a given amount of time, rather than moved to a specific position in the code. Depending on how non-preemptive regions are implemented, this model can come in two slightly different versions:
  - 1) *Floating model*. In this model, non-preemptive regions are defined by the programmer by inserting

specific primitives in the task code that disable and enable preemption. However, since the start time of each region is not specified in the model, non-preemptive regions cannot be identified off-line and, for the sake of the analysis, they are considered to be “floating” in the code, with a duration not exceeding  $q_i$ .

- 2) *Activation-triggered model*. In this model, non-preemptive regions are triggered by the arrival of a higher priority task and programmed by a timer to last exactly for  $q_i$  units of time (unless the task finishes earlier), after which preemption is enabled. Once a timer is set at time  $t$ , additional activations arriving before the timeout ( $t + q_i$ ) do not postpone the preemption any further. Once a preemption takes place, a new high-priority arrival can trigger another non-preemptive region.

- *Fixed Preemption Points (FPP)*. According to this approach, proposed by Burns [17], a task implicitly executes in non-preemptive mode and preemption is allowed only at predefined locations inside the task code, called *preemption points*. In this way, a task is divided into a number of non-preemptive chunks (also called subjobs). If a higher priority task arrives between two preemption points of the running task, preemption is postponed until the next preemption point. This approach is also referred to as *Cooperative scheduling*, because tasks cooperate to offer suitable preemption points to improve schedulability.

## III. TERMINOLOGY AND NOTATION

Let us consider a set of  $n$  periodic or sporadic real-time tasks that need to be scheduled on a single processor. Each task  $\tau_i$  is characterized by a worst-case execution time (WCET)  $C_i$ , a relative deadline  $D_i$ , and a period (or minimum inter-arrival time)  $T_i$ . A constrained deadline model is adopted, so  $D_i$  is assumed to be less than or equal to  $T_i$ . Each task is assigned a fixed priority  $P_i$ , used to select the running task among those tasks ready to execute (a higher value of  $P_i$  corresponds to a higher priority). Notice that task activation times are not known a priori and the actual execution time of a task can be less than or equal to its worst-case value  $C_i$ . Tasks are indexed by decreasing priority, i.e.,  $\forall i \mid 1 \leq i < n : P_i > P_{i+1}$ . Additional terminology will be introduced below for each specific method.

### A. Integer time model

In real-time operating systems, time instants and interval durations are measured by counting the number of clock cycles generated by a real-time clock, hence all timing values have a resolution equal to one clock cycle. Therefore, to use analytical results in a real embedded system, all timing parameters are assumed to be non-negative integer values. To comply with such a convention, all cited results derived under the domain of real numbers have been adapted to the integer time model.

### B. Critical instant

The maximum response time of each task is derived under the worst-case arrival pattern that leads to the largest interference on

the considered task. Such a particular scenario is often referred to as the *critical instant*. For fully preemptive fixed priority systems, Liu and Layland [19] proved that the critical instant for a task occurs when it arrives synchronously with all higher priority tasks, and all task instances are released as soon as possible, i.e., in a strictly periodic fashion.

In the presence of non-preemptive regions, however, the additional blocking from lower priority tasks has to be taken into account, hence the critical instant for a task  $\tau_i$  occurs when it is released synchronously and periodically with all higher priority tasks, while the lower priority task that is responsible of the largest blocking time of  $\tau_i$  is released one unit of time before  $\tau_i$  [20]. However, the largest response time of a task is not necessarily due to the first job after a critical instant, but might be due to later jobs, as explained later on.

All schedulability tests hereafter presented have been derived by computing the worst-case response time of a task under the above described notion of critical instant.

### C. Motivating example

To better appreciate the importance of limited preemptive scheduling and to better understand the difference among the limited preemptive approaches presented in this survey, Table I reports a sample task set that will be used as a common example throughout this paper, because it results to be unschedulable by Deadline Monotonic [21], both in fully preemptive and in fully non-preemptive mode, but it can be schedulable by all limited preemptive approaches.

	$C_i$	$T_i$	$D_i$
$\tau_1$	1	6	4
$\tau_2$	3	10	9
$\tau_3$	6	18	12

TABLE I  
PARAMETERS OF A SAMPLE TASK SET.

Figure 1 illustrates the schedule produced by Deadline Monotonic in fully preemptive mode. As clear from the figure, the task set is not feasible, since task  $\tau_3$  misses its deadline.

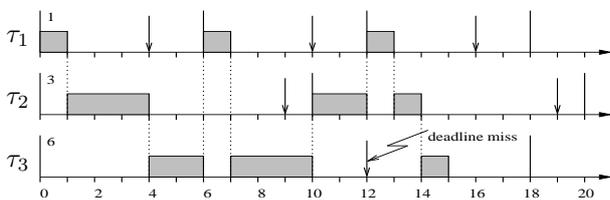


Fig. 1. Schedule produced by Deadline Monotonic (in fully preemptive mode) on the task set of Table I.

## IV. NON-PREEMPTIVE SCHEDULING (NPS)

The most effective way to reduce preemption cost is to disable preemptions completely. In this condition, however, each task  $\tau_i$  can experience a blocking time  $B_i$  equal to the longest computation time among the tasks with lower priority. That is,

$$B_i = \max_{j:P_j < P_i} \{C_j - 1\} \quad (1)$$

where the maximum of an empty set is assumed to be zero. Notice that one unit of time is subtracted from the computation time of the blocking task to consider that, to block  $\tau_i$ , it must

start at least one unit before the critical instant. Such a blocking term introduces an additional delay before task execution, which could jeopardize schedulability. High priority tasks are those that are most affected by such a blocking delay, since the maximum in Equation (1) is computed over a larger set of tasks. Figure 2 illustrates the schedule generated by Deadline Monotonic on the task set of Table I when preemptions are disabled. With respect to the schedule shown in Figure 1, notice that  $\tau_3$  is now able to complete before its deadline, but the task set is still not schedulable, since now  $\tau_1$  misses its deadline.

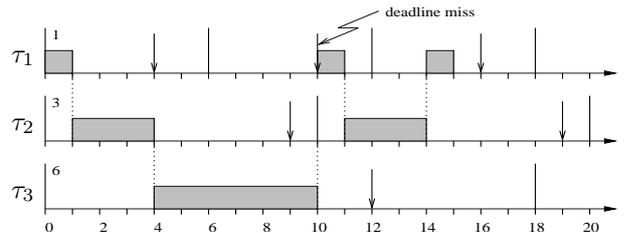


Fig. 2. Schedule produced by non-preemptive Deadline Monotonic on the task set of Table I.

Unfortunately, under non-preemptive scheduling, the least upper bounds of both Rate Monotonic (RM) [19] and Earliest Deadline First (EDF) [19] drop to zero! This means that there exist task sets with arbitrary low utilization that cannot be scheduled by RM and EDF when preemptions are disabled.

### A. Feasibility analysis

The feasibility analysis of non-preemptive task sets is more complex than under fully preemptive scheduling. Davis et al. [22] showed that in non-preemptive scheduling the largest response time of a task does not necessarily occur in the first job, after the critical instant. An example of such a situation is illustrated in Figure 3, where the worst-case response time of  $\tau_3$  occurs in its second instance. Such a scheduling anomaly, identified as *self-pushing phenomenon*, occurs because the high priority jobs activated during the non-preemptive execution of  $\tau_i$ 's first instance are pushed ahead to successive jobs, which then may experience a higher interference.

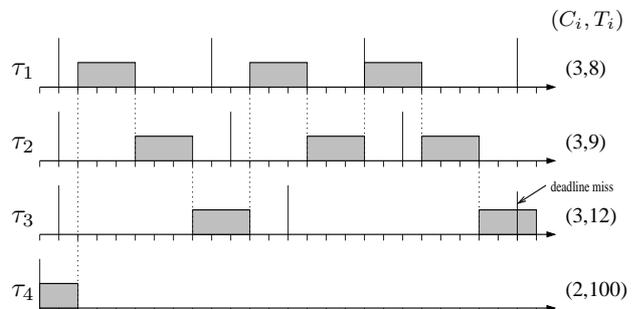


Fig. 3. An example of self-pushing phenomenon occurring on task  $\tau_3$ .

The presence of the self-pushing phenomenon in non-preemptive scheduling implies that the response time analysis for a task  $\tau_i$  cannot be limited to its first job, activated at the critical instant, as done in preemptive scheduling, but it must be performed for multiple jobs, until the processor finishes executing tasks with priority higher than or equal to  $P_i$ . Hence,

the response time of a task  $\tau_i$  needs to be computed within the longest Level- $i$  Active Period, defined as follows [20]:

**Definition 1.** The Level- $i$  pending workload  $W_i^p(t)$  at time  $t$  is the amount of processing that still needs to be performed at time  $t$  due to jobs with priority higher than or equal to  $P_i$  released strictly before  $t$ .

**Definition 2.** A Level- $i$  Active Period  $L_i$  is an interval  $[a, b)$  such that the Level- $i$  pending workload  $W_i^p(t)$  is positive for all  $t \in (a, b)$  and null in  $a$  and  $b$ .

The longest Level- $i$  Active Period can be computed by the following recurrent relation:

$$\begin{cases} L_i^{(0)} = B_i + C_i \\ L_i^{(s)} = B_i + \sum_{h:P_h \geq P_i} \left\lceil \frac{L_i^{(s-1)}}{T_h} \right\rceil C_h. \end{cases} \quad (2)$$

In particular,  $L_i$  is the smallest value for which  $L_i^{(s)} = L_i^{(s-1)}$ . This means that the response time of task  $\tau_i$  must be computed for all jobs  $\tau_{i,k}$ , with  $k \in [1, K_i]$ , where:

$$K_i = \left\lceil \frac{L_i}{T_i} \right\rceil. \quad (3)$$

For a generic job  $\tau_{i,k}$ , the start time  $s_{i,k}$  can then be computed considering the blocking time  $B_i$ , the computation time of the preceding  $(k-1)$  jobs and the interference of the tasks with priority higher than  $P_i$ . Hence,  $s_{i,k}$  can be computed with the following recurrent relation:

$$\begin{cases} s_{i,k}^{(0)} = B_i + \sum_{h:P_h > P_i} C_h \\ s_{i,k}^{(\ell)} = B_i + (k-1)C_i + \sum_{h:P_h > P_i} \left( \left\lceil \frac{s_{i,k}^{(\ell-1)}}{T_h} \right\rceil + 1 \right) C_h. \end{cases} \quad (4)$$

Note that the original result derived in [20] adopted two different expressions: one for the  $n$ -th task, that does not experience any blocking, and one for the remaining tasks. Instead, using an integer time model and computing the blocking term with Equation (1), it is possible to simplify the analysis, using a homogeneous formulation for all tasks.

Since, once started, the task cannot be preempted, the finishing time  $f_{i,k}$  can be computed as

$$f_{i,k} = s_{i,k} + C_i. \quad (5)$$

Hence, the response time of task  $\tau_i$  is given by

$$R_i = \max_{k \in [1, K_i]} \{f_{i,k} - (k-1)T_i\}. \quad (6)$$

Once the response time of each task is computed, the task set is feasible if and only if

$$\forall i = 1, \dots, n \quad R_i \leq D_i. \quad (7)$$

Yao, Buttazzo, and Bertogna [23] showed that the analysis of non-preemptive tasks can be reduced to analyzing a single job, under specific (but not too restrictive) conditions. The following theorem, originally stated for the fixed preemption model, is presented here for the non-preemptive scheduling model, which is a special case of the fixed preemption model.

**Theorem 1** (from [23]). *The worst-case response time of a non-preemptive task occurs in the first job after its critical instant*

if the following two conditions are both satisfied:

- 1) the task set is feasible under preemptive scheduling;
- 2) relative deadlines are less than or equal to periods.

Under these conditions, the longest relative start time  $S_i$  of task  $\tau_i$  is equal to  $s_{i,1}$  and can be computed from Equation (4) for  $k = 1$ :

$$\begin{cases} S_i^{(0)} = B_i + \sum_{h:P_h > P_i} C_h \\ S_i^{(\ell)} = B_i + \sum_{h:P_h > P_i} \left( \left\lceil \frac{S_i^{(\ell-1)}}{T_h} \right\rceil + 1 \right) C_h. \end{cases} \quad (8)$$

Hence, the response time  $R_i$  is simply:

$$R_i = S_i + C_i. \quad (9)$$

## V. PREEMPTION THRESHOLDS SCHEDULING (PTS)

According to this model, proposed by Wang and Saksena [15], each task  $\tau_i$  is assigned a nominal priority  $P_i$  (used to enqueue the task into the ready queue and to preempt) and a *preemption threshold*  $\theta_i \geq P_i$  (used for task execution). Then,  $\tau_i$  can be preempted by  $\tau_h$  only if  $P_h > \theta_i$ . At the activation time  $r_{i,k}$ , the priority of  $\tau_i$  is set to its nominal value  $P_i$ , so it can preempt all the tasks  $\tau_j$  with threshold  $\theta_j < P_i$ . The nominal priority is maintained as long as the task is kept in the ready queue. During this interval,  $\tau_i$  can be delayed by all tasks  $\tau_h$  with priority  $P_h > P_i$  and by at most one lower priority task  $\tau_l$  with threshold  $\theta_l \geq P_i$ . When all such tasks complete (at time  $s_{i,k}$ ),  $\tau_i$  is dispatched for execution and its priority is raised at its threshold level  $\theta_i$  until the task terminates (at time  $f_{i,k}$ ). During this interval,  $\tau_i$  can be preempted by all tasks  $\tau_h$  with priority  $P_h > \theta_i$ . Notice that, when  $\tau_i$  is preempted, its priority is kept to its threshold level.

Preemption threshold can be considered as a trade-off between fully preemptive and fully non-preemptive scheduling. Indeed, if each threshold priority is set equal to the task nominal priority, the scheduler behaves like a fully preemptive scheduler; whereas, if all thresholds are set to the maximum priority, the scheduler runs in a non-preemptive fashion. Wang and Saksena also showed that, by appropriately setting the thresholds, the system can improve the schedulability compared with fully preemptive and fully non-preemptive scheduling.

For example, if priorities are assigned as  $P_1 = 3$ ,  $P_2 = 2$ , and  $P_3 = 1$ , and thresholds as  $\theta_1 = 3$ ,  $\theta_2 = 3$ , and  $\theta_3 = 2$ , the task set of Table I results to be schedulable, and the schedule produced in the synchronous periodic arrival pattern is illustrated in Figure 4.

Notice that, at  $t = 6$ ,  $\tau_1$  can preempt  $\tau_3$  since  $P_1 > \theta_3$ . However, at  $t = 10$ ,  $\tau_2$  cannot preempt  $\tau_3$ , being  $P_2 = \theta_3$ . Similarly, at  $t = 12$ ,  $\tau_1$  cannot preempt  $\tau_2$ , being  $P_1 = \theta_2$ .

### A. Feasibility analysis

Under fixed priorities, the feasibility analysis of a task set with preemption thresholds can be performed by the test derived by Keskin et al. [24].<sup>1</sup> First of all, a task  $\tau_i$  can be blocked only by lower priority tasks that cannot be preempted by it, that is,

<sup>1</sup>The original analysis by Wang and Saksena [15] has been corrected by Regehr [25], which in its turn has been improved by Keskin et al. [24].

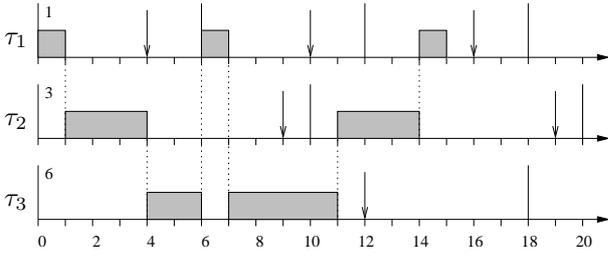


Fig. 4. Schedule produced by Deadline Monotonic on the task set in Table I with priorities  $P_1 = 3$ ,  $P_2 = 2$ , and  $P_3 = 1$ , and thresholds  $\theta_1 = 3$ ,  $\theta_2 = 3$ , and  $\theta_3 = 2$ .

by tasks  $\tau_j$  with  $P_j < P_i$  and  $\theta_j \geq P_i$ . Hence, a task  $\tau_i$  can experience a blocking time equal to the longest computation time among the tasks with priority lower than  $P_i$  and threshold higher than or equal to  $P_i$ . That is,

$$B_i = \max_j \{C_j - 1 \mid P_j < P_i \leq \theta_j\} \quad (10)$$

where the maximum of an empty set is assumed to be zero. Then, the response time  $R_i$  of task  $\tau_i$  is computed by considering the blocking time  $B_i$ , the interference before its start time (due to the tasks with priority higher than  $P_i$ ), and the interference after its start time (due to tasks with priority higher than  $\theta_i$ ). The analysis must be carried out within the longest Level- $i$  active period  $L_i$  defined in Equation (2). This means that the response time of task  $\tau_i$  must be computed for all the jobs  $\tau_{i,k}$  with  $k \in [1, K_i]$ , where  $K_i$  is defined in Equation (3).

For a generic job  $\tau_{i,k}$ , the start time  $s_{i,k}$  can be computed considering the blocking time  $B_i$ , the computation time of the preceding  $(k-1)$  jobs, and the interference of the tasks with priority higher than  $P_i$ . Hence,  $s_{i,k}$  can be computed using Equation (4). The finishing time  $f_{i,k}$  can be computed by summing to the start time  $s_{i,k}$  the computation time of job  $\tau_{i,k}$ , and the interference of the tasks that can preempt  $\tau_{i,k}$  (those with priority higher than  $\theta_i$ ). That is,

$$\begin{cases} f_{i,k}^{(0)} = s_{i,k} + C_i \\ f_{i,k}^{(\ell)} = s_{i,k} + C_i + \sum_{h: P_h > \theta_i} \left( \left\lceil \frac{f_{i,k}^{(\ell-1)}}{T_h} \right\rceil - \left( \left\lfloor \frac{s_{i,k}}{T_h} \right\rfloor + 1 \right) \right) C_h. \end{cases} \quad (11)$$

Again, the integer time model adopted in this paper, along with the convention on the blocking term given by Equation (10), allow simplifying the analysis with respect to [24], without needing to use two different expressions for the cases with and without blocking.

The response time of task  $\tau_i$  can then be computed using Equation (6), and the task set is feasible if and only if Condition (7) is satisfied.

The feasibility analysis under preemption thresholds can also be simplified under the conditions of Theorem 1. In this case, we have that the worst-case start time is computed using Equation (8), and the worst-case response time of task  $\tau_i$  can

be computed as

$$\begin{cases} R_i^{(0)} = S_i + C_i + \sum_{h: P_h > \theta_i} C_h \\ R_i^{(\ell)} = S_i + C_i + \sum_{h: P_h > \theta_i} \left( \left\lceil \frac{R_i^{(\ell-1)}}{T_h} \right\rceil - \left( \left\lfloor \frac{S_i}{T_h} \right\rfloor + 1 \right) \right) C_h. \end{cases} \quad (12)$$

### B. Selecting preemption thresholds

The example illustrated in Figure 4 shows that a task set unfeasible under both preemptive and non-preemptive scheduling can be feasible under preemption thresholds, for a suitable setting of threshold levels.

Given a task set that is feasible under preemptive scheduling, an interesting problem is to determine the thresholds that limit preemption as much as possible, without jeopardizing the schedulability of the task set. Saksena and Wang [26] proposed an algorithm to increase the threshold of each task up to the level after which the schedule would become infeasible. The algorithm considers one task at the time, starting from the highest priority task.

## VI. DEFERRED PREEMPTIONS SCHEDULING (DPS)

According to this method, each task  $\tau_i$  defines a maximum interval of time  $q_i$  in which it can execute non-preemptively. Depending on the specific implementation, the non-preemptive interval can start after the invocation of a system call inserted at the beginning of a non-preemptive region (floating model), or can be triggered by the arrival of a higher priority task (activation-triggered model).

Under the floating model, preemption is resumed by another system call, inserted at the end of the region (at most  $q_i$  units long); whereas, under the activation-triggered model, preemption is enabled by a timer interrupt after exactly  $q_i$  units (unless the task completes earlier). For example, considering the same task set of Table I, assigning  $q_2 = 2$  and  $q_3 = 1$ , the schedule produced by Deadline Monotonic with deferred preemptions under the activation-triggered model is feasible, as illustrated in Figure 5. Dark regions represent intervals executed in non-preemptive mode, triggered by the arrival of higher priority tasks.

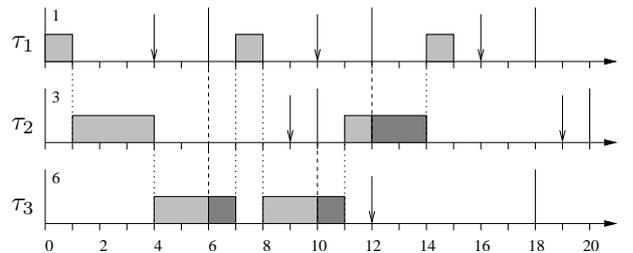


Fig. 5. Schedule produced by Deadline Monotonic with deferred preemptions for the task set reported in Table I, with  $q_2 = 2$  and  $q_3 = 1$ .

### A. Feasibility analysis

In the presence of non-preemptive intervals, a task can be blocked when, at its arrival, a lower priority task is running in

non-preemptive mode. Since each task can be blocked at most once by a single lower priority task,  $B_i$  is equal to the longest non-preemptive interval belonging to tasks with lower priority. In particular, the blocking factor can be computed as

$$B_i = \max_{j:P_j < P_i} \{q_j - 1\}. \quad (13)$$

Note that, under the floating model, one unit of time must be subtracted from  $q_j$  to allow the non-preemptive region to start before  $\tau_i$ . Under the activation-triggered model, however, there is no need to subtract one unit of time from  $q_j$ , since the non-preemptive interval is programmed to be exactly  $q_j$  from the arrival time of a higher priority task.

In both the floating and activation-triggered cases, the start times of non-preemptive intervals are assumed to be unknown a priori. Therefore, non-preemptive regions cannot be identified off-line and, for the sake of the analysis, they are considered to occur in the worst possible time (in the sense of schedulability). Then, schedulability can be checked through the classic response time analysis:

$$R_i = B_i + \sum_{h:P_h \geq P_i} \left\lceil \frac{R_i}{T_h} \right\rceil C_h. \quad (14)$$

Note that, under the floating model, the analysis does not need to be carried out within the longest Level- $i$  active period. In fact, the worst-case interference on  $\tau_i$  occurs in the first instance assuming that  $\tau_i$  could be preempted one time-unit before its completion.

On the other hand, the analysis is more pessimistic under the activation-triggered model, where non-preemptive intervals are exactly equal to  $q_i$  units and can last until the end of the task. In this case, the analysis does not take advantage of the fact that  $\tau_i$  cannot be preempted when higher periodic tasks arrive  $q_i$  units (or less) before its completion. The advantage of such a pessimism, however, is that the analysis is much simpler and can be limited to the first job of each task. Under these assumptions, a task set is feasible with deferred preemptions only if the task set is feasible preemptively. The analysis of periodic tasks with floating non-preemptive regions has also been developed under EDF [27], [28].

### B. Longest non-preemptive interval

When using the deferred preemption method, an interesting problem is to find the longest non-preemptive interval  $Q_i$  for each task  $\tau_i$  that can still preserve the task set schedulability. More precisely, the problem can be stated as follows:

Given a set of  $n$  periodic tasks that is feasible under preemptive scheduling, find the longest non-preemptive interval of length  $Q_i$  for each task  $\tau_i$ , so that  $\tau_i$  can continue to execute for  $Q_i$  units of time in non-preemptive mode, without violating the schedulability of the original task set.

This problem has been solved under EDF by Bertogna and Baruah [27], and under fixed priorities by Yao et al. [29]. The solution is based on the concept of *blocking tolerance*  $\beta_i$ , for a task  $\tau_i$ , defined as follows:

**Definition 3.** *The blocking tolerance  $\beta_i$  of a task  $\tau_i$  is the maximum amount of blocking  $\tau_i$  can tolerate without missing any of its deadlines.*

When deadlines are equal to periods, a simple way to compute a lower bound on the blocking tolerance is from the Liu and Layland test [19], which, in the presence of blocking factors, becomes:

$$\forall i = 1, \dots, n \quad \sum_{h:P_h \geq P_i} \frac{C_h}{T_h} + \frac{B_i}{T_i} \leq U_{lub}(i)$$

where  $U_{lub}(i) = i(2^{1/i} - 1)$ . Isolating the blocking factor, the test can also be rewritten as:

$$B_i \leq T_i \left[ U_{lub}(i) - \sum_{h:P_h \geq P_i} \frac{C_h}{T_h} \right].$$

Hence, considering integer computations, we have:

$$\beta_i = \left\lfloor T_i \left( U_{lub}(i) - \sum_{h:P_h \geq P_i} \frac{C_h}{T_h} \right) \right\rfloor. \quad (15)$$

When deadlines are less than or equal to periods, an exact bound for  $\beta_i$  can instead be achieved by using the schedulability test presented in [30], so that a task set is schedulable with deferred preemptions if and only if for each task  $\tau_i$ :

$$\exists t \in \mathcal{TS}(\tau_i) : B_i + \sum_{h:P_h \geq P_i} \left\lceil \frac{t}{T_h} \right\rceil C_h \leq t, \quad (16)$$

where

$$\mathcal{TS}(\tau_i) \stackrel{\text{def}}{=} \mathcal{P}_{i-1}(D_i) \quad (17)$$

and  $\mathcal{P}_i(t)$  is defined by the following recurrent expression:

$$\begin{cases} \mathcal{P}_0(t) = \{t\} \\ \mathcal{P}_i(t) = \mathcal{P}_{i-1} \left( \left\lfloor \frac{t}{T_i} \right\rfloor T_i \right) \cup \mathcal{P}_{i-1}(t). \end{cases} \quad (18)$$

This leads to the following result [29]:

$$\begin{aligned} B_i &\leq \max_{t \in \mathcal{TS}(\tau_i)} \left\{ t - \sum_{h:P_h \geq P_i} \left\lceil \frac{t}{T_h} \right\rceil C_h \right\}. \\ \beta_i &= \max_{t \in \mathcal{TS}(\tau_i)} \left\{ t - \sum_{h:P_h \geq P_i} \left\lceil \frac{t}{T_h} \right\rceil C_h \right\}. \end{aligned} \quad (19)$$

Given the blocking tolerance, the feasibility test can also be expressed as follows:

$$\forall i = 1, \dots, n \quad B_i \leq \beta_i$$

and, by Equation (13), we can write:

$$\forall i = 1, \dots, n \quad \max_{j:P_j < P_i} \{q_j - 1\} \leq \beta_i.$$

This implies that, to achieve feasibility, we must have:

$$\forall i = 1, \dots, n \quad q_i \leq \min_{k:P_k > P_i} \{\beta_k + 1\}$$

Hence, the longest non-preemptive interval  $Q_i$  that preserves feasibility for each task  $\tau_i$  is:

$$Q_i = \min_{k:P_k > P_i} \{\beta_k + 1\}. \quad (20)$$

The  $Q_i$  terms can also be computed more efficiently, starting from the highest priority task ( $\tau_1$ ) and proceeding with decreasing priority order, according to the following theorem:

**Theorem 2** (from [29]). *The longest non-preemptive interval  $Q_i$  of task  $\tau_i$  that preserves feasibility can be computed as*

$$Q_i = \min\{Q_{i-1}, \beta_{i-1} + 1\} \quad (21)$$

where  $Q_1 = \infty$  and  $\beta_1 = D_1 - C_1$ .

Note that, in order to apply Theorem 2,  $Q_i$  is not constrained to be less than or equal to  $C_i$ , but a value of  $Q_i$  greater than  $C_i$  means that  $\tau_i$  can be fully executed in non-preemptive mode.

## VII. FIXED PREEMPTION POINTS (FPP)

According to this model, each task  $\tau_i$  is split into  $m_i$  non-preemptive chunks (subjobs), obtained by inserting  $m_i - 1$  preemption points in the code. Thus, preemptions can only occur at the subjobs boundaries. All the jobs generated by one task have the same subjob division. The  $k^{\text{th}}$  subjob has a worst-case execution time  $q_{i,k}$ , hence  $C_i = \sum_{k=1}^{m_i} q_{i,k}$ .

Among all the parameters describing the subjobs of a task, two values are of particular importance for achieving a tight schedulability result:

$$\begin{cases} q_i^{\max} = \max_{k \in [1, m_i]} \{q_{i,k}\} \\ q_i^{\text{last}} = q_{i, m_i} \end{cases} \quad (22)$$

In fact, the feasibility of a high priority task  $\tau_k$  is affected by the size  $q_j^{\max}$  of the longest subjob of each task  $\tau_j$  with priority  $P_j < P_k$ . Moreover, the length  $q_i^{\text{last}}$  of the final subjob of  $\tau_i$  directly affects its response time. In fact, all higher priority jobs arriving during the execution of  $\tau_i$ 's final subjob do not cause a preemption, since their execution is postponed at the end of  $\tau_i$ . Therefore, in this model, each task will be characterized by the following 5-tuple:

$$\{C_i, D_i, T_i, q_i^{\max}, q_i^{\text{last}}\}.$$

For example, consider the same task set of Table I, and suppose that  $\tau_2$  is split into two subjobs of 2 and 1 unit, and  $\tau_3$  is split into two subjobs of 4 and 2 units. The schedule produced by Deadline Monotonic with such a splitting is feasible and it is illustrated in Figure 6.

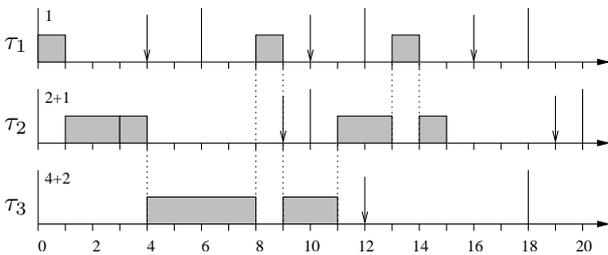


Fig. 6. Schedule produced by Deadline Monotonic for the task set reported in Table I, when  $\tau_2$  is split into two subjobs of 2 and 1 unit, and  $\tau_3$  is split into two subjobs of 4 and 2 units, respectively.

### A. Feasibility analysis

Feasibility analysis for tasks with fixed preemption points can be carried out in a very similar way as the non-preemptive case, with the following differences:

- The blocking factor  $B_i$  to be considered for each task  $\tau_i$  is equal to the length of longest subjob (instead of the longest task) among those with lower priority:

$$B_i = \max_{j: P_j < P_i} \{q_j^{\max} - 1\}. \quad (23)$$

- The last non-preemptive chunk of  $\tau_i$  is equal to  $q_i^{\text{last}}$  (instead of  $C_i$ ).

The response time analysis for a task  $\tau_i$  has to consider all the jobs within the longest Level- $i$  Active Period, defined in Equation (2). This means that the response time of  $\tau_i$  must be computed for all jobs  $\tau_{i,k}$  with  $k \in [1, K_i]$ , where  $K_i$  is defined in Equation (3).

For a generic job  $\tau_{i,k}$ , the start time  $s_{i,k}$  of the last subjob can be computed considering the blocking time  $B_i$ , the computation time of the preceding  $(k-1)$  jobs, the subjobs of  $\tau_{i,k}$  preceding the last one ( $C_i - q_i^{\text{last}}$ ), and the interference of the tasks with priority higher than  $P_i$ . Hence,  $s_{i,k}$  can be computed with the following recurrent relation:

$$\begin{cases} s_{i,k}^{(0)} = B_i + C_i - q_i^{\text{last}} + \sum_{h: P_h > P_i} C_h \\ s_{i,k}^{(\ell)} = B_i + kC_i - q_i^{\text{last}} + \sum_{h: P_h > P_i} \left( \left\lfloor \frac{s_{i,k}^{(\ell-1)}}{T_h} \right\rfloor + 1 \right) C_h. \end{cases} \quad (24)$$

Also in this case, the original result reported by Bril et al. [20] adopted a more complex expression, separating the lowest priority task from the higher priority ones. The expression presented here has been simplified thanks to the integer time model, provided the blocking term is computed using Equation (23).

Since, once started, the last subjob cannot be preempted, the finishing time  $f_{i,k}$  can be computed as

$$f_{i,k} = s_{i,k} + q_i^{\text{last}}. \quad (25)$$

The response time of task  $\tau_i$  can then be computed using Equation (6), and the task set is feasible if and only if Condition (7) is satisfied.

### B. Longest non-preemptive interval

As done in Section VI-B under deferred preemptions, it is interesting to compute, also under task splitting, the longest non-preemptive interval  $Q_i$  for each task  $\tau_i$  that can guarantee the schedulability. It is worth observing that splitting tasks into subjobs allows achieving a larger  $Q_i$ , because a task  $\tau_i$  cannot be preempted during the execution of the last  $q_i^{\text{last}}$  units of time. As shown by Bertogna et al. [31], there are cases in which  $Q_i$  can be computed even when the task set is not preemptively feasible, because the last non-preemptive region allows reducing the interference from higher priority tasks.

Defining  $\beta_{i,k}$  as the blocking tolerance of the  $k$ -th job of  $\tau_i$  after a critical instant, the schedulability of such a job can be checked using the following condition [31]:

$$\exists t \in \Pi_{i,k} : B_i \leq t - kC_i + q_i^{\text{last}} - \sum_{h: P_h > P_i} \left( \left\lfloor \frac{t}{T_h} \right\rfloor + 1 \right) C_h, \quad (26)$$

where  $\Pi_{i,k} \stackrel{\text{def}}{=} ((k-1)T_i, (k-1)T_i + D_i - q_i^{\text{last}}] \cap \{hT_j - 1, \forall h \in \mathbb{N}, j \leq i\} \cup \{(k-1)T_i + D_i - q_i^{\text{last}}\}$ .

Hence, the blocking tolerance  $\beta_{i,k}$  becomes

$$\beta_{i,k} = \max_{t \in \Pi_{i,k}} \left\{ t - kC_i + q_i^{\text{last}} - \sum_{h: P_h > P_i} \left( \left\lfloor \frac{t}{T_h} \right\rfloor + 1 \right) C_h \right\}. \quad (27)$$

The blocking tolerance of task  $\tau_i$  can be computed as the minimum blocking tolerance among the first  $K_i$  jobs of  $\tau_i$  in the longest Level- $i$  Active Period:

$$\beta_i = \min_{k \in [1, K_i]} \beta_{i,k}, \quad (28)$$

where  $K_i$  is defined in Equation (3).

From Equation (27), it is easy to see that the blocking tolerances  $\beta_{i,k}$  do not depend on  $B_i$ , which can be set to  $\beta_{i,1}$  without affecting the analysis. The longest non-preemptive interval  $Q_i$  that guarantees the feasibility for each task  $\tau_i$  can then be computed by Theorem 2, using the blocking tolerances given by Equation (28).

As previously mentioned, the maximum length of the non-preemptive chunk under fixed preemption points is larger than in the case of deferred preemptions. It is worth pointing out that the value of  $Q_i$  for task  $\tau_i$  only depends on the  $\beta_k$  of the higher priority tasks, as expressed in Equation (20), and the blocking tolerance  $\beta_i$  is a function of  $q_i^{last}$ , as clear from Equation (28). Note that when tasks are assumed to be preemptively feasible, the analysis can be limited to the first job of each task. In this case, the blocking tolerance  $\beta_i$  of task  $\tau_i$  is:

$$\beta_i = \beta_{i,1}. \quad (29)$$

## VIII. ASSESSMENT OF THE APPROACHES

The limited preemption methods presented in this paper can be compared under several aspects, such as: implementation complexity, predictability in estimating the preemption cost, effectiveness in improving schedulability and in reducing the number of preemptions.

### A. Implementation issues

The preemption threshold mechanism can be implemented by raising the execution priority of the task, as soon as it starts running. The mechanism can be easily implemented at the application level by calling, at the beginning of the task, a system call that increases the priority of the task at its threshold level. The mechanism can also be fully implemented at the operating system level, without modifying the application tasks. To do that, the kernel has to increase the priority of a task at the level of its threshold when the task is scheduled for the first time. In this way, at its first activation, a task is inserted in the ready queue using its nominal priority. Then, when the task is scheduled for execution, its priority becomes equal to its threshold, until completion. Note that, if a task is preempted, its priority remains at its threshold level.

Note that preemption threshold scheduling is already used in the ThreadX real-time operating system by Express Logic Inc. and in the Erika Enterprise real time kernel by Evidence, and it represents an example of a great success of transferring research results to industrial applications.

In deferred preemption (floating model), non-preemptive regions can be implemented by proper kernel primitives that disable and enable preemption at the beginning and at the end of the region, respectively. As an alternative, preemption can be disabled by increasing the priority of the task at its maximum value, and can be enabled by restoring the nominal task priority. In the activation-triggered mode, non-preemptive regions can be realized by setting a timer to enforce the maximum interval in

which preemption is disabled. Initially, all tasks start executing in non-preemptive mode. When  $\tau_i$  is running and a task with priority higher than  $P_i$  is activated, a timer is set by the kernel (inside the activation primitive) to interrupt  $\tau_i$  after  $q_i$  units of time. Until then,  $\tau_i$  continues executing in non-preemptive mode. The interrupt handler associated to the timer must then call the scheduler to allow the higher priority task to preempt  $\tau_i$ . Notice that, once a timer has been set, other additional activations before the timeout will not prolong the timeout any further.

Finally, cooperative scheduling does not need special kernel support, but it requires the programmer to insert in each preemption point a primitive that calls the scheduler, so enabling pending high-priority tasks to preempt the running task. As a last remark, note that the fixed preemption point model can also be adopted to model electrical loads of a distributed smart grid, where power appliances can be interrupted only at pre-defined points [32].

### B. Predictability

As observed in Section I, the runtime overhead introduced by the preemption mechanism depends on the specific point where the preemption takes place. Therefore, a method that allows predicting where a task is going to be preempted simplifies the estimation of preemption costs, permitting a tighter estimation of task WCETs.

Unfortunately, under preemption thresholds, the specific preemption points depend on the actual execution of the running task and on the arrival time of high priority tasks, hence it is practically impossible to predict the exact location where a task is going to be preempted.

Under deferred preemptions (floating model), the position of non-preemptive regions is not specified in the model, thus they are considered to be unknown. In the activation-triggered model, instead, the time at which the running task will be preempted is set  $q_i$  units of time after the arrival time of a higher priority task. Hence, the preemption position depends on the actual execution of the running task and on the arrival time of the higher priority task. Therefore, it can hardly be predicted off-line.

On the contrary, under fixed preemption points, the locations where preemptions may occur are explicitly defined by the programmer at design time, hence the corresponding overhead can be estimated more precisely by timing analysis tools. For instance, Bertogna et al. [33] presented an algorithm for selecting the preemption points that minimize the overall preemption cost without compromising the feasibility of the task set.

### C. Effectiveness

The effectiveness of an algorithm that limits preemptions can be evaluated either in terms of schedulability or by the number of preemptions. As long as schedulability is concerned, all the limited preemptive methods (under fixed priorities) dominate both fully preemptive scheduling and non-preemptive scheduling, even when preemption cost is neglected. Such a behavior has been clearly illustrated by showing how the sample task set in Table I cannot be scheduled by fully preemptive and non-preemptive Deadline Monotonic, whereas it is schedulable using any limited preemptive algorithm. This property will be

also evaluated by simulation in the next section, using more quantitative data.

The number of preemptions each task can experience depends of different parameters. Under preemption thresholds, a task  $\tau_i$  can only be preempted by tasks with priority greater than its threshold  $\theta_i$ . Hence, if preemption cost is neglected, an upper bound  $\nu_i$  on the number of preemptions  $\tau_i$  can experience can be computed by counting the number of activations of tasks with priority higher than  $\theta_i$  occurring in  $[0, R_i]$  that is:

$$\nu_i = \sum_{h: P_h > \theta_i} \left\lceil \frac{R_i}{T_h} \right\rceil. \quad (30)$$

This is an upper bound because each higher priority arrival is counted as a different preemption, even when multiple arrivals cause a single preemption.

Under deferred preemption, the number of preemptions occurring on  $\tau_i$  can be upper bounded using the non-preemptive interval  $q_i$  specified for the task. If preemption cost is neglected, we simply have:

$$\nu_i = \left\lfloor \frac{C_i}{q_i} \right\rfloor - 1.$$

This is a pessimistic estimation since a task  $\tau_i$  is assumed to be preempted after every interval of length  $q_i$ , even in the absence of higher priority jobs. In this case, a better upper bound can be derived from Equation (30), by replacing  $\theta_i$  with  $P_i$ . Note that when preemption cost is not negligible, the derived upper bounds are not applicable, since task computation times also depend on the number of preemptions, leading to a circular dependency, as shown by Yao et al. [34].

Under cooperative scheduling, the number of preemptions can be easily upper bounded by the minimum between the number of effective preemption points inserted in the task code and the number of higher priority jobs activated during the response time of the considered task.

## IX. SIMULATION EXPERIMENTS

This section presents a set of simulation results performed on randomly generated task sets, with the objective of evaluating the effects of the different scheduling approaches on the number of preemptions and the system schedulability. Specific tests have been carried out to evaluate how schedulability is affected by the size of non-preemptive regions and by the preemption cost. The aforementioned algorithms have been considered in the comparison, all executed under the Deadline Monotonic priority assignment.

Each task set was generated as follows. The UUniFast [35] algorithm was used to generate a set of  $n$  periodic tasks with total utilization equal to a desired value  $U$ . Then, for each task  $\tau_i$ , its computation time  $C_i$  was generated as a random integer uniformly distributed in the interval  $[100, 500]$ , and its period  $T_i$  was computed as  $T_i = C_i/U_i$ . The relative deadline  $D_i$  was generated as a random integer uniformly distributed in the range  $[C_i + \alpha \cdot (T_i - C_i), T_i]$ , with  $\alpha = 0.5$ .

To reduce preemptions as much as possible, in the PTS algorithm, threshold priorities were set at the highest possible value using the method described in Section V-B. Similarly, in both DPS and FPP, the length of non-preemptive regions was set at the highest possible value to keep the task set feasible, using

the methods illustrated in Section VI-B and Section VII-B, respectively.

In the rest of this section, three sets of experiments are presented: the first set is aimed at evaluating how the number of preemptions is affected by different parameters; the second set evaluates the schedulability level in the ideal case of zero preemption cost, whereas the third set compares the feasibility level in the presence of non-negligible cost.

### A. Number of preemptions

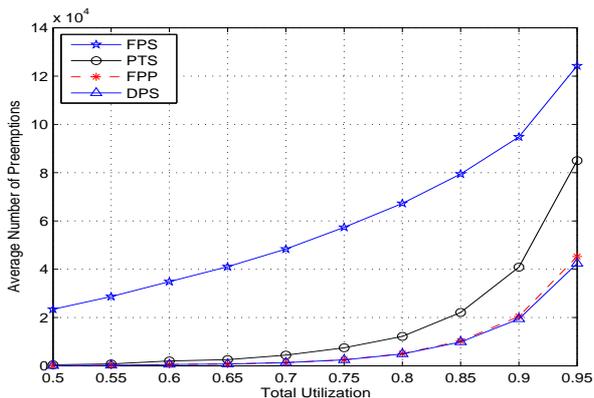
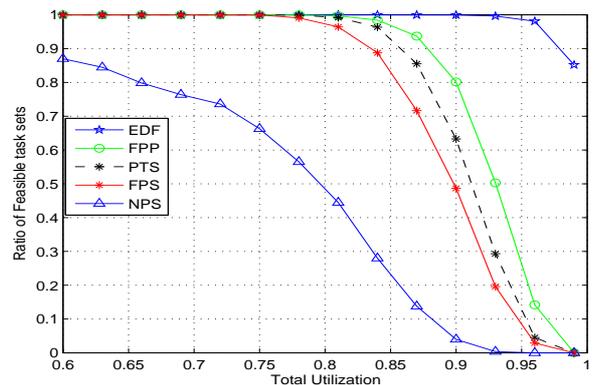
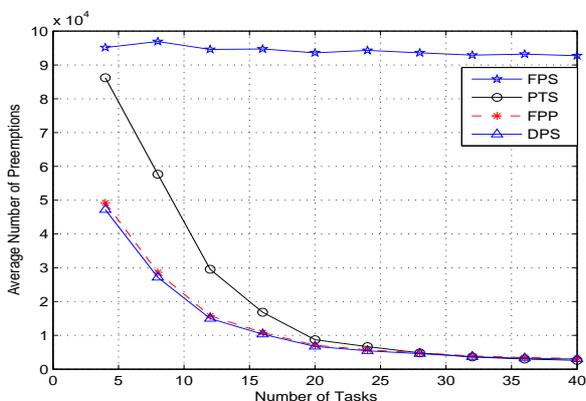
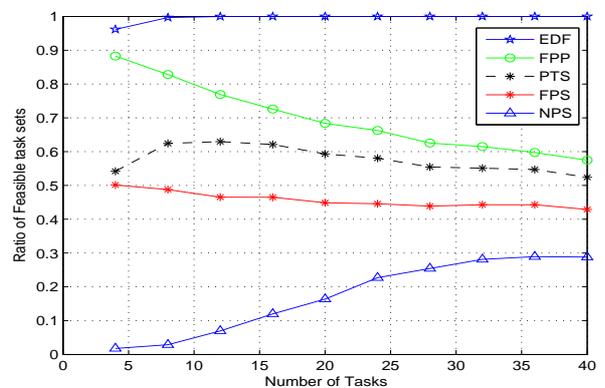
The first set of experiments was carried out to monitor the total number of preemptions generated by the different algorithms on a periodic task set within a simulation window of  $5 \cdot 10^7$  units of time. In particular, each value shown in the graphs plots the average over 1,000 runs. To make the comparison fair, only preemptively feasible task sets were considered and the preemption cost was assumed to be zero. In this set of experiments, the non-preemptive scheduling algorithm (NPS) is not reported, since the number of preemptions is always zero, for any utilization. Such a great performance of NPS, however, is compensated by a poor schedulability level, which is better evaluated in the second set of experiments. The curve for deferred preemption scheduling (DPS) corresponds to the activation-triggered model. We did not include the floating model because in this model no information is provided on the minimum length and position of the non-preemptive regions. The number of preemptions is therefore the same as in the fully preemptive case (FPS).

Figure 7 shows how the performance of the various algorithms varies as a function of the task set utilization, for task sets composed of  $n = 10$  tasks. As clear from the graphs, the use of non-preemptive regions, either fixed (FPP) or not (DPS), allows achieving a higher reduction with respect to preemption thresholds, especially for task set utilizations greater than 70%. Note that in all the graphs related to this experiment, DPS performs slightly better than FPP. This can be explained considering that, when preemption points are fixed, high priority jobs arriving slightly before and after a preemption point generate two distinct preemptions (although deferred), whereas under DPS the first arrival always triggers a non-preemptive interval of length  $Q$ , which prevents other subsequent arrivals to generate additional preemptions. In most practical cases, however, such a performance difference is quite negligible, hence FPP is still to be preferred against DPS for the reasons expressed in the previous sections.

Figure 8 shows the average number of preemptions as a function of the number of tasks, when  $U = 0.9$ . Note that preemptions rapidly decrease with  $n$  for all the algorithms. This is due to the fact that, for a given utilization, large task sets are characterized by tasks with smaller computation times, which have less chance to be preempted. For task sets with  $n < 20$ , however, both FPP and DPS lead to a significant reduction with respect to PTS.

### B. Schedulability with zero preemption cost

The second set of experiments was carried out to test the impact of the various algorithms on the task set schedulability, which has been verified using the feasibility tests reported in this paper, assuming zero preemption cost. The performance of

Fig. 7. Average number of preemptions versus utilization when  $n = 10$ .Fig. 9. Feasible ratio versus utilization when  $n = 10$ .Fig. 8. Average number of preemptions versus number of tasks when  $U = 0.9$ .Fig. 10. Feasible ratio as a function of  $n$ , when  $U = 0.9$ .

the algorithms was evaluated by comparing the feasible ratio, calculated as the number of feasible task sets divided by the total number of generated sets. In each experiment, 5,000 task sets were randomly generated for each parameter configuration. The assumption on preemptive feasibility was removed and the percentage of feasible task sets was monitored as a function of different parameters.

In this set of experiments, DPS is not shown, since its performance is the same as FPS, as mentioned in Section VI-A. On the other hand, fully preemptive Earliest Deadline First (EDF) [19] has been included in the graphs to evaluate the difference with respect to an optimal solution.

Figure 9 shows the performance of the various algorithms as a function of the task set utilization, when  $n = 10$ . It is worth observing that both FPP and PTS improve the schedulability level with respect to FPS, but FPP is able to achieve a larger improvement, especially for higher utilizations ( $U > 0.85$ ). For example, FPP is able to schedule 30% more task sets than FPS for  $U$  around 0.9.

A second experiment has been carried out to test how schedulability is affected by the number of tasks. Here, the total system utilization was set to  $U = 0.9$  and the number of tasks was varied from 4 to 40. The results are reported in Figure 10.

Note that FPP always outperforms all the other fixed priority algorithms, although the improvement decreases for larger task sets. This can be explained observing that a large task set is more likely to have smaller blocking tolerances, due to the higher number of generated deadlines. This phenomenon

limits the length of non-preemptive regions of lower priority tasks, hence FPP has less chance to improve schedulability for large task sets. On the other hand, the performance of NPS increases with  $n$ , because larger task sets tend to have smaller computation times, which introduce smaller blocking times in higher priority tasks.

### C. Schedulability with preemption cost

Considering that FPP is the algorithm that exhibits the best performance with respect to the other fixed priority schemes, a final experiment was carried out to evaluate how the feasibility ratio of FPP is affected by the preemption cost. In this case, however, existing feasibility tests that take preemption costs into account are quite pessimistic, since they count a preemption for each high priority job arrival. For this reason, in this set of experiments, an approximated feasibility ratio was computed by simulation, considering a task set schedulable if no deadline miss occurred during the entire simulation interval. Even if such a simulation represents just a necessary condition for feasibility, it allows giving a rough estimation of the schedulability performance when preemption cost is taken into account.

Preemption cost has been incorporated into response time analysis by Altmeyer et al. [36] to obtain tight bounds on feasibility. However, the approach requires detailed information on the task structure and cache usage, which is not in the scope of this paper.

In the experiment, the length  $q$  of the non-preemptive regions in each task was varied from 0 to  $C_{max}$ , (i.e., the longest

computation time among the tasks), through a parameter  $\lambda$  varying in  $[0,1]$ , such that  $q = \lambda C_{max}$ . In this way, FPS and NPS result to be two special cases of FPP, obtained with  $\lambda = 0$  and  $\lambda = 1$ , respectively. Note that, if  $q \geq C_i$ , task  $\tau_i$  is entirely executed in non-preemptive mode. The same  $q$  value is used for all tasks in the system in order to vary the length of the non-preemptive regions in a uniform way for the whole task set. However, a much better schedulability performance could be obtained adopting a different  $q_i$  value for each task  $\tau_i$ , as explained in Section VII. The preemption cost, denoted by  $\gamma$ , was assumed to be a fixed value for each task.

Figure 11 shows the feasibility ratio achieved by FPP as a function of the task set utilization, for different values of  $\lambda$  in  $[0,1]$ . Here, the task set has  $n = 10$  tasks and the preemption cost is  $\gamma = 30$ . Note that different curves intersect each other, meaning that the relative performance depends on the task set utilization. In particular, using smaller non-preemptive regions is more efficient for small task set utilizations, when there are less preemptions due to the reduced workload. On the other hand, when the total utilization increases, having longer non-preemptive regions might help reducing the number of preemptions, reducing the overhead experienced. In the considered configuration, the curve for  $\lambda = 0.2$  (i.e., for  $q = C_{max} \cdot 0.2 = 100$ ) has the best performance until  $U = 0.8$ , while the curve for  $\lambda = 0.4$  (i.e., for  $q = C_{max} \cdot 0.4 = 200$ ) has a better performance for larger utilizations. It is interesting to note that the curve for fully preemptive scheduling ( $\lambda = 0$ ) has a rapid performance degradation, being the highest one for  $U < 0.7$  and the lowest one when  $U > 0.85$ .

Finally, Figure 12 shows how the overall system feasibility, computed for all the task sets generated within the utilization range  $[0.05, 0.95]$ , varies as a function of  $\lambda$ , from the fully preemptive case ( $\lambda = 0$ ), to the non-preemptive case ( $\lambda = 1$ ).

Different curves are plotted for different preemption costs ranging from 0 to 50 units of time, with a step of 10. It is worth noting that the highest feasibility ratio is not achieved under fully preemptive scheduling ( $\lambda = 0$ ), even for low preemption costs. Also note that increasing the preemption cost the highest feasibility ratio is achieved for longer non-preemptive regions (higher  $\lambda$ ). This confirms that limited preemptive scheduling dominates fully preemptive and non-preemptive scheduling, even when preemption cost is negligible, and becomes more effective for larger preemption costs. Also note that, when  $\lambda$  increases, each task has less chances to be preempted, hence the cost is less relevant and the gap between lines reduces. Eventually, all lines merge at one point, since NPS does suffer from the preemption cost.

## X. CONCLUSIONS

This paper presented a survey of limited preemptive scheduling algorithms, as methods for increasing the predictability and efficiency of real-time systems. The most relevant result that clearly emerges from the experiments is that, under fixed priority scheduling, any of the considered algorithms dominates both fully preemptive and non-preemptive scheduling, even when preemption cost is neglected.

As discussed in the previous sections, each specific algorithm for limiting preemptions has advantages and disadvantages. The

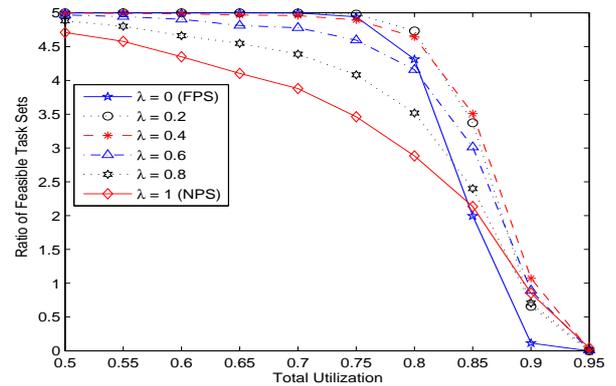


Fig. 11. Feasible ratio versus utilization under different  $q$  values, with  $n = 10$  and  $\gamma = 30$ .

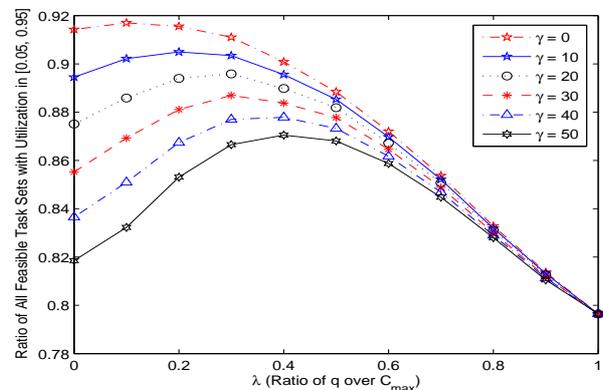


Fig. 12. Overall feasible ratio versus length of non-preemptive regions.

preemption threshold mechanism has a simple and intuitive interface and can be implemented by introducing a low runtime overhead; however, preemption cost cannot be easily estimated, since the position of each preemption, as well as the overall number of preemptions for each task, cannot be determined off-line. Using deferred preemptions, the number of preemptions for each task can be better estimated, but still the position of each preemption cannot be determined off-line. Fixed preemption points represents the most predictable solution for estimating preemption costs, since both the number of preemptions and their positions are fixed and known from the task code. Moreover, simulation experiments clearly show that the FPP algorithm is the one generating less preemptions and higher schedulability ratios for any task set parameter configurations. However, FPP requires adding explicit preemption points in the program, hence achieving portability of legacy code is still a challenge for future works.

## REFERENCES

- [1] M. Grenier and N. Navet, "Fine-tuning MAC-level protocols for optimized real-time qos," *IEEE Transactions on Industrial Informatics*, vol. 4, no. 1, pp. 6–15, February 2008.
- [2] C.-G. Lee, J. Hahn, Y.-M. Seo, S. L. Min, R. Ha, S. Hong, C. Y. Park, M. Lee, and C. S. Kim, "Analysis of cache-related preemption delay in fixed-priority preemptive scheduling," *IEEE Transactions on Computers*, vol. 47, no. 6, pp. 700–713, 1998.
- [3] H. Ramaprasad and F. Mueller, "Tightening the bounds on feasible preemption points," in *Proceedings of the 27th IEEE Real-Time Systems Symposium (RTSS 2006)*, Rio de Janeiro, Brazil, December 5–8, 2006.

- [4] —, “Bounding worst-case response time for tasks with non-preemptive regions,” in *Proceedings of the Real-Time and Embedded Technology and Applications Symposium (RTAS 2008)*, St. Louis, Missouri, USA, April 22-24, 2008.
- [5] —, “Tightening the bounds on feasible preemptions,” *ACM Transactions on Embedded Computing Systems*, vol. 10, no. 2, pp. 1–34, December 2010.
- [6] L. Sha, R. Rajkumar, and J. Lehoczky, “Priority inheritance protocols: An approach to real-time synchronization,” *IEEE Transactions on Computers*, vol. 39, no. 9, pp. 1175–1185, September 1990.
- [7] T. P. Baker, “Stack-based scheduling for realtime processes,” *Real-Time Systems*, vol. 3, no. 1, pp. 67–99, April 1991.
- [8] G. Buttazzo and A. Cervin, “Comparative assessment and evaluation of jitter control methods,” in *Proceedings of the 15th International Conference on Real-Time and Network Systems (RTNS’07)*, Nancy, France, March 29-30, 2007.
- [9] P. Gai, L. Abeni, M. Giorgi, and G. Buttazzo, “A new kernel approach for modular real-time systems development,” in *Proceedings of the 13th IEEE Euromicro Conference on Real-Time Systems (ECRTS 2001)*, Delft, The Netherlands, June 13-15, 2001.
- [10] R. Marau, P. Leite, M. Velasco, P. Marti, L. Almeida, P. Pedreiras, and J. Fuertes, “Performing flexible control on low-cost microcontrollers using a minimal real-time kernel,” *Industrial Informatics, IEEE Transactions on*, vol. 4, no. 2, pp. 125–133, May 2008.
- [11] B. D. Bui, M. Caccamo, L. Sha, and J. Martinez, “Impact of cache partitioning on multi-tasking real time embedded systems,” in *IEEE Proceedings of the 14th Int. Conf. on Embedded and Real-Time Computing Systems and Applications (RTCSA 2008)*, Kaohsiung, Taiwan, August 25-27, 2008.
- [12] S. Altmeyer and G. Gebhard, “WCET analysis for preemptive scheduling,” in *Proc. of the 8th Int. Workshop on Worst-Case Execution Time (WCET) Analysis*, Prague, Czech Republic, July 2008, pp. 105–112.
- [13] G. Gebhard and S. Altmeyer, “Optimal task placement to improve cache performance,” in *Proc. of the 7th ACM-IEEE Int. Conf. on Embedded Software (EMSOFT 07)*, Salzburg, Austria, October 1-3, 2007.
- [14] C. Li, C. Ding, and K. Shen, “Quantifying the cost of context switch,” in *Proc. of ACM Workshop on Experimental Computer Science (ExpCS’07)*, San Diego, California, June 13-14, 2007.
- [15] Y. Wang and M. Saksena, “Scheduling fixed-priority tasks with preemption threshold,” in *Proc. of the 6th IEEE Int. Conference on Real-Time Computing Systems and Applications (RTCSA’99)*, Hong Kong, China, December 13-15, 1999.
- [16] S. Baruah, “The limited-preemption uniprocessor scheduling of sporadic task systems,” in *Proc. of the 17th Euromicro Conf. on Real-Time Systems (ECRTS’05)*, Palma de Mallorca, Balearic Islands, Spain, July 6-8, 2005, pp. 137–144.
- [17] A. Burns, “Preemptive priority based scheduling: An appropriate engineering approach,” S. Son, editor, *Advances in Real-Time Systems*, pp. 225–248, 1994.
- [18] R. J. Bril, J. J. Lukkien, and W. F. J. Verhaegh, “Worst-case response time analysis of real-time tasks under fixed-priority scheduling with deferred preemption revisited,” in *Proc. of the 19th Euromicro Conf. on Real-Time Systems (ECRTS’07)*, Pisa, Italy, July 4-6, 2007, pp. 269–279.
- [19] C. Liu and J. Layland, “Scheduling algorithms for multiprogramming in a hard-real-time environment,” *Journal of the Association for Computing Machinery*, vol. 20, no. 1, pp. 46–61, January 1973.
- [20] R. Bril, J. Lukkien, and W. Verhaegh, “Worst-case response time analysis of real-time tasks under fixed-priority scheduling with deferred preemption,” *Real-Time System*, vol. 42, no. 1-3, pp. 63–119, 2009.
- [21] J. Leung and J. Whitehead, “On the complexity of fixed-priority scheduling of periodic real-time tasks,” *Performance Evaluation*, vol. 2, no. 4, pp. 237–250, 1982.
- [22] R. I. Davis, A. Burns, R. J. Bril, and J. J. Lukkien, “Controller area network (CAN) schedulability analysis: Refuted, revisited and revised,” *Real-Time System*, vol. 35, no. 3, pp. 239–272, 2007.
- [23] G. Yao, G. Buttazzo, and M. Bertogna, “Feasibility analysis under fixed priority scheduling with fixed preemption points,” in *Proc. of the 16th IEEE Int. Conf. on Embedded and Real-Time Computing Systems and Applications (RTCSA 2010)*, Macau, China, August 23-25, 2010.
- [24] U. Keskin, R. Bril, and J. Lukkien, “Exact response-time analysis for fixed-priority preemption-threshold scheduling,” in *Work-in-Progress Session of the 15th Int. Conf. on Emerging Technologies and Factory Automation (ETFA 2010)*, Bilbao, Spain, September 13-16, 2010.
- [25] J. Regehr, “Scheduling tasks with mixed preemption relations for robustness to timing faults,” in *Proc. of the 23rd IEEE Real-Time Systems Symposium (RTSS 2002)*, Austin, Texas, USA, December 3-5, 2002.
- [26] M. Saksena and Y. Wang, “Scalable real-time system design using preemption thresholds,” in *Proc. of the 21st IEEE Real-Time Systems Symposium (RTSS’00)*, Orlando, Florida, USA, November 27-30, 2000.
- [27] M. Bertogna and S. Baruah, “Limited preemption EDF scheduling of sporadic task systems,” *IEEE Transactions on Industrial Informatics*, vol. 6, no. 4, pp. 579–591, 2010.
- [28] M. Short, “Improved schedulability analysis of implicit deadline tasks under limited preemption edf scheduling,” in *Proceedings of the 16th IEEE Conference on Emerging Technologies and Factory Automation (ETFA 2011)*, September 2011, pp. 1–8.
- [29] G. Yao, G. Buttazzo, and M. Bertogna, “Bounding the maximum length of non-preemptive regions under fixed priority scheduling,” in *Proc. of the 15th IEEE Int. Conf. on Embedded and Real-Time Computing Systems and Applications (RTCSA 2009)*, Beijing, China, August 24-26, 2009.
- [30] E. Bini and G. C. Buttazzo, “Schedulability analysis of periodic fixed priority systems,” *IEEE Transactions on Computers*, vol. 53, no. 11, pp. 1462–1473, 2004.
- [31] M. Bertogna, G. Buttazzo, and G. Yao., “Improving feasibility of fixed priority tasks using non-preemptive regions,” in *Proceedings of 32nd IEEE Real-Time Systems Symposium (RTSS 2011)*, Vienna, Austria, Nov. 30 - Dec. 2, 2011.
- [32] T. Facchinetti and M. D. Vedova, “Real-time modeling for direct load control in cyber-physical power systems,” *IEEE Transactions on Industrial Informatics*, vol. 7, no. 4, pp. 689 – 698, 2011.
- [33] M. Bertogna, O. Xhani, M. Marinoni, F. Esposito, and G. Buttazzo, “Optimal selection of preemption points to minimize preemption overhead,” in *Proc. of the 23rd Euromicro Conf. on Real-Time Systems (ECRTS’11)*, Porto, Portugal, July 6-8, 2011.
- [34] G. Yao, G. Buttazzo, and M. Bertogna, “Comparative evaluation of limited preemptive methods,” in *Proc. of the 15th IEEE Int. Conf. on Emerging Technologies and Factory Automation (ETFA 2010)*, Bilbao, Spain, September 13-16, 2010.
- [35] E. Bini and G. C. Buttazzo, “Measuring the performance of schedulability tests,” *Real-Time Systems*, vol. 30, no. 1-2, pp. 129–154, 2005.
- [36] S. Altmeyer, R. Davis, and C. Maiza, “Cache related pre-emption delay aware response time analysis for fixed priority pre-emptive systems,” in *Proceedings of 32nd IEEE Real-Time Systems Symposium (RTSS 2011)*, Vienna, Austria, Nov. 30 - Dec. 2, 2011.



**Giorgio C. Buttazzo** is Full Professor of Computer Engineering at the Scuola Superiore Sant’Anna of Pisa. He graduated in Electronic Engineering at the University of Pisa in 1985, received a Master in Computer Science at the University of Pennsylvania in 1987, and a Ph.D. in Computer Engineering at the Scuola Superiore Sant’Anna of Pisa in 1991. From 1987 to 1988, he worked on active perception and real-time control at the G.R.A.S.P. Laboratory of the University of Pennsylvania, Philadelphia. Prof. Buttazzo has been Program Chair and General Chair of

the major international conferences on real-time systems. He is Editor in Chief of *Real-Time Systems* (Springer), Associate Editor of the *IEEE Transactions on Industrial Informatics* and Chair of the *IEEE Technical Committee on Real-Time Systems*. He has authored 6 books on real-time systems and over 200 papers in the field of real-time systems, scheduling algorithms, overload management, robotics, and neural networks.



**Marko Bertogna** is Assistant Professor at University of Modena and Reggio Emilia, Italy. Before, he held the same position at the Scuola Superiore Sant’Anna of Pisa, Italy, where he also received a Ph.D. in Computer Engineering in 2008. He graduated (summa cum laude) in Telecommunications Engineering at the University of Bologna in 2002. In 2006, he visited the University of North Carolina at Chapel Hill, working with prof. Sanjoy Baruah on scheduling algorithms for single and multicore real-time systems. His research interests include scheduling and schedulability analysis

of real-time multiprocessor systems, protocols for the exclusive access to shared resources, resource reservation algorithms and reconfigurable devices. He has authored over 30 papers in international conferences and journals in the field of real-time systems, receiving four Best Paper Awards. He is Senior Member of IEEE.



**Gang Yao** is a Postdoctoral Research Collaborator at the University of Illinois at Urbana Champaign. He received a Ph.D. in Computer Engineering from the Scuola Superiore Sant'Anna of Pisa, Italy, in 2010. He received the BE and ME degrees from Tsinghua University, Beijing, China. His interests include real-time scheduling algorithms, safety-critical systems and shared resource protocols.